

CURRICULUM VITAE

Dr. Marcelo Sergio Bergman

Director: Centro de Estudios Latinoamericanos sobre la Inseguridad y el Violencia (CELIV)

Universidad Nacional de Tres de Febrero

Avenida Santa Fe 830 8° Piso

Ciudad Autónoma de Buenos Aires

Profesor de Sociología. Departamento de Graduados

Professor of Sociology. Graduate Department

Education/ Estudios

Ph.D. in Sociology, University of California, San Diego. 2001

Dissertation title: "The Sociology of Tax Evasion: A Comparative Analysis of Chile and Argentina."

M.A. in Political Science, Hebrew University of Jerusalem, 1985 (Magna Cum Laude).

B.A. in Political Science and History, Hebrew University of Jerusalem, 1981.

Academic Employment

8/2012___ Professor of Sociology and Director of the Center for the Study of Crime and Insecurity in Latin America. Universidad Nacional de Tres de Febrero, Argentina

4/ 2001-to 7/2012. Professor, Department of Legal Studies, CIDE.

Courses taught: Contemporary Legal Problems, Sociology of Law, Research Methods for Legal Studies (Statistical Research Methods), Law and Public Policy.

Since 2003, founder and academic director of PESED (Programa de Estudios para la Seguridad y el Estado de Derecho, Program for the Study of security and the Rule of Law) at CIDE)

1996-2000. Lecturer, Department of Sociology, University of Oregon.

Courses taught: Crime, Deviance and Social Control; Sociology in Latin America; Law and Society; Criminology; Culture and Collective Identities in Latin America; Hispanics in the U.S.

1998-1999. Research Director, United Nations Program for Development (PNUD), Buenos Aires. Tax Compliance and State Enforcement Programs.

1984-1985. Research Assistant, Department of Political Science, Hebrew University of Jerusalem. Courses taught: Research Methods, Comparative Politics, Social Stratification.

1982-1984. Administrative Director, Research Institute of Employment and Welfare, Hebrew University of Jerusalem.

Areas of Interest

Crime and Public Security, Sociology of Law, Law and Economics, Research Methods and Statistics, Political Economy and Development, Criminology, Latin America.

Publication/Publicaciones

Books/Libros

Tax Evasion and the Rule of Law in Latin America: The Political Culture of Cheating and Compliance in Argentina and Chile. Penn State University Press. Fall 2009.

Bergman, M., and Whitehead, L. (Eds.) *The Challenges of Rising Criminality to Democracy and Rule of Law in Latin America.* Notre Dame University Press. Fall 2009.

Bergman, M., and Rosenkrantz, C. (Eds.) *La Confianza y el Derecho en América Latina.* Fondo de Cultura Económica. Mexico D.F. 2009.

Seguridad Pública y Estado en México: el Análisis de Algunas Iniciativas. Editorial Fontamara. México D.F. 2007

Selected Journal Articles (peer reviewed)/ Artículos en Revistas Dictaminadas (Selección)

"The rule, the law and the rule of law: Conceptual and Measurements Problems" *Justice System Journal* 33:2 174-194

"La Violencia en México: Algunas Aproximaciones Académicas" *Desacatos* 40. fall 2012

"Procuración de Justicia en las Entidades Federativas: Gasto fiscal y Eficacia de las Procuradurías Estatales" (with Fernando Cafferata) (accepted by/aceptada para publicación : *Control y Gestión Pública* in fall 2012)

"Delito patrimonial e Inserción Laboral: La naturaleza de la relación entre crimen y empleo en México" (accepted for publication/aceptado para Publicación *Estudios Sociológicos* 92: 2013)

"Determinantes de la confianza en la policía: una comparación entre Argentina y México" (with Hernan Flom) *Perfiles Latinoamericanos* 40. Julio 2012 97-123

"La Economía Política del Narcotráfico: ¿Por qué falla el enforcement?" (*under review*)
"Narco-politique et Narco-économie en Amérique latine" *Problèmes d'Amérique latine* Vol 76, 2010. Pgs 25-41

"Vulnerabilidad al Delito y Sentimiento de Inseguridad en Buenos Aires: Determinantes y Consecuencias" (with Gabriel Kessler) *Desarrollo Económico* Vol 1, 2009)

"La Administración y el Cumplimiento Tributario: Algunas Lecciones a Partir de una Simulación", en Revista del Instituto AFIP.2007. Pag 8-26.

"De Mal en Peor: Las Condiciones de Vida en las Cárceles Mexicanas" (with Elena Azaola), in Revista *Nueva Sociedad* 208, 2007. pp. 118-127.

"Qué medir, cómo y por qué en seguridad pública: algunos indicadores preliminares", in: Revista *Criminalia*, (with Ana Laura Magaloni) Academia Mexicana de Ciencias Penales Año LXXIII, núm. 2, .pp 7-22.

"Cárceles en México: Cuadros de una Crisis" (with Elena Azaola) in *Urvio, Revista Latinoamericana de Seguridad Ciudadana*, 2007. pp. 74-87.

"Memoria, Cuerpo y Silencio: El caso "María Soledad" y la Demanda de Ciudadanía en la Argentina de los Noventa" (with Mónica Szurmuk) in *Acta Poética*, número 27-2, 2007, pp.243-271.

"Do Audits Enhance Compliance? An Empirical Assessment of VAT Enforcement." (With Armando Nevarez), *National Tax Journal*, December 2006 pp 817-832.

"Crime and Citizen Security in Latin America: The Challenges for New Scholarship." *Latin American Research Review*, June 2006.

"Evadir o Pagar Impuestos. Una Aproximación a los Mecanismos Sociales del Cumplimiento." (With Nevarez, A.), *Revista Política y Gobierno*, CIDE, 2005,12:1, 9-40.

"Delincuencia y Sistema Penitenciario en México." *Revista Brasileira de Ciências Criminais*, Editora Revista Dos Tribunais, Brasil, 2004, 46, 197-227.

"The Enforcement of Local Judgments." (With Kossick, R.), *Inter-American Law Review*, 2003, 34:3, 435-464.

"Tax Reforms and Tax Compliance: The Divergent Paths of Chile and Argentina". *Journal of Latin American Studies*, 2003, 35:3, 593-624.

"La Ejecución de Sentencias en México. Análisis de Percepciones Cuantitativas y Cualitativas del Poder Judicial y de la Profesión Legal." (With Kossick, R.), *Revista Juez, Cuadernos de Investigación del Instituto de la Judicatura Federal*, 2003, 2:3, 11-28.

"Who Pays for Social Policy? A Study of Taxes and Trust." *Journal of Social Policy*, 2002, 31:2, 289-305.

"Changes in Labor Markets and Community Breakdown: The Rapid Increase in Urban

Criminality in Buenos Aires." *International Criminal Justice Review*, 2002, 11:1, 25-43.

"Criminal Law and Tax Compliance in Argentina: Testing the Limits of Deterrence." *International Journal of the Sociology of Law*, 1998, 26:1, 55-74.

Book Chapters (peer reviewed)

"Criminality and Citizen Security in Latin America: Introduction" (w Laurence Whitehead) in Bergman, M., and Whitehead, L. (Eds.) *The Challenges of Rising Criminality to Democracy and Rule of Law in Latin America*. Notre Dame University Press. 2009.

"Rising Crime in Mexico and Buenos Aires: The Effects of Changes in Labor Markets and Community Breakdown" in Bergman, M., and Whitehead, L. (Eds.) *The Challenges of Rising Criminality to Democracy and Rule of Law in Latin America*. Notre Dame University Press. 2009.

"Confianza y Estado de Derecho." In Bergman, M., and Rosenkrantz, C. (Eds.) *La Confianza y el Derecho en América Latina*. Fondo de Cultura Económica 2009.

"Confianza y derecho: Una Introducción" (with Carlos Rosenkrantz) in In Bergman, M., and Rosenkrantz, C. (Eds.) *La Confianza y el Derecho en América Latina*. Fondo de Cultura Económica 2009.

"The Penitentiary System" en: Cornelius, Wayne A. and David A. Shirk (eds.), (with Elena Azaola) *Reforming the Administration of Justice in Mexico*. La Jolla and Notre Dame: Center for U.S.-Mexican Studies and University of Notre Dame Press. pp. 91-114.

"La Recaudación de Impuestos en México en los Años 90." In Aziz, A.(Ed.). *El Estado Mexicano: Herencias y Cambios*, CIESAS, Mexico, 2005.

"La Administración Tributaria en América Latina: Una Perspectiva Comparada entre Argentina, Chile y México." In Guerrero, J.P. (Ed.) *Impuestos y Gasto Público en México desde una Perspectiva Multidisciplinaria*, CIDE-Miguel Angel Porrúa, Mexico, 2004, 219-250.

"El Sistema Penitenciario Mexicano." In Dammert, L. (Ed.) *Seguridad Ciudadana: Experiencias y Desafíos*, published by Seguridad Ciudadana en la Ciudad and Eruope Aid, Chile, 2004, 185-206.

"Gender, Citizenship, and Social Protest: The New Social Movements in Argentina." (With Szurmuk, M.) in Rodríguez, I., and Lopez, M. (Eds.) *The Latin American Subaltern Studies Reader*, Duke University Press, 2001, 383-401.

“Rising Crime in Buenos Aires.” In *Synthesis: Rising Violence and the Criminal Justice Response in Latin America*. Symposium on Crime in Latin America. University of Texas, 1999.

Working Papers

“Crimen y Desempleo en México: Una correlación espuria?” Documento de Trabajo No 55. CIDE, Department of Legal Studies, 2011.

“Gasto Estatal en Seguridad Pública: Análisis del presupuesto ejercido en seguridad pública por las Entidades Federativas 2003-2008” Documento de Trabajo No 40. CIDE, Department of Legal Studies, 2009.

“Policía y Comunidad: Una Comparación entre Fuerzas Policiales del Distrito Federal y Estado de México y la Ciudad Autónoma de Buenos Aires” (with Hernán Flom) Documento de Trabajo No 33. CIDE, Department of Legal Studies, 2008.

“Do Audits Enhance Compliance? An Empirical Assessment of VAT Enforcement.” Documento de Trabajo No. 11. CIDE, Department of Legal Studies, 2005.

“Compliance with Norms: The Case of Tax Compliance in Latin America.” Documento de Trabajo No. 5. CIDE, Department of Legal Studies, 2002.

“Confianza y Estado de Derecho.” Documento de Trabajo No. 4. CIDE, Department of Legal Studies, 2002.

Research Reports

“Mucho Gasto y Resultados Inciertos: El gasto Pública de la Policía Federal de México” (with Arturo Arango) México DF. Octubre 2011

“Delincuencia, Marginalidad y Desempeño Institucional.” (With Azaola, E.). CIDE, 2009. A Study on the Second Inmate Survey in Mexico.

“Encuesta de Victimización y Eficacia Institucional 2009”. CIDE, México DF 2010

“Procuración de Justicia y Gasto Público en México: Un estudio de la Efectividad del Gasto a Nivel Sub-Nacional” (with Fernando Cafferata) December 2008. Mexico DF.

“Encuesta de Victimización y Eficacia Institucional 2008”. CIDE, México DF 2009

“Encuesta de Victimización de la Ciudad de Buenos Aires” (with Alberto Fohrig and

Gabriel Kessler) /A study of Victimization, fear of crime and Attitudes Towards Police in the City of Buenos Aires. August 2007.

“Encuesta de Victimización y Eficacia Institucional 2007.” CIDE, México DF 2007

“Delincuencia, Marginalidad y Desempeño Institucional.” (With Magaloni, A.; and, Azaola, E.). CIDE, 2007. A Study on the Second Inmate Survey in Mexico.
Encuesta de Victimización y Eficacia Institucional 2008. CIDE, México DF 2008

“Análisis sobre las estrategias y políticas públicas para reformar y mejorar el Sistema Nacional de Seguridad Pública” A Study for the Institutional Governance Review of the World Bank. June 2007

“Encuesta de Victimización y Eficacia Institucional 2006”. CIDE, México DF 2008

“Delincuencia, Marginalidad y Desempeño Institucional.” (With Magaloni, A.; Negrete, L.; and, Azaola, E.). CIDE, 2003. A Study on the First Inmate Survey in Mexico.

Estudio sobre el Juicio Ejecutivo Mercantil en el Distrito Federal. (With Magaloni, A., and Negrete, L.) The World Bank, Mexico, 2002.

“La Capacidad de Recaudar Impuestos del Gobierno Mexicano: ¿El Tema Previo a la Reforma Fiscal?” Programa de Presupuesto y Gasto Público, CIDE, 2001, 1-19.

Recent Teaching Courses

Sociología del derecho Penal. Curso de maestría en derecho Penal. Universidad Torcuato Di Tella May-July 2013.

Sociología del derecho Penal. Curso de maestría en derecho Penal. Universidad Torcuato Di Tella June-August 2012.

Sociología del derecho Penal. Curso de maestría en derecho Penal. Universidad Torcuato Di Tella April-June 2010.

Public Security and Mexico. Workshop. Fall 2008.

Public Security and Mexico. Workshop. Fall 2007.

Contemporary Legal Problems. Department of Legal Studies, CIDE. Spring 2002, Fall 2002 and 2004.

Sociology of Law. Department of Legal Studies, CIDE. Fall 2002, 2004 and 2005.

Research Methods for Legal Studies. Department of Legal Studies, CIDE. Fall 2003, 2004 and 2005, 2006 and 2008.

Diplomado en Transparencia y Combate a la Corrupción, CIDE, sesión "Rule of Law in Mexico. What do we Punish? When does punishment work? (2004, 2005, 2007)

Diplomado en Análisis Político Estratégico, CIDE, sesión "El Estado de Derecho en México: Procuración de Justicia." (2006)

Survey and other Field Research Director/Dirección de trabajo de campo y encuestas

First, Second, Third and Fourth Survey of Prison Inmates in Mexico City and the State of Mexico. Sample 1500 inmates from the metropolitan area. Funded by The William and Flora Hewlett Foundation and Government (2002, 2005, 2009 and 2012).

Survey of Prison Inmates in Peru. National sample of 1200 inmates in Peru. Funded by UNDP. 2013

Survey of Prison Inmates in El Salvador. National sample of 1200 inmates in Peru. Funded by UNDP. 2013

Survey of Prison Inmates in Argentina. Sample of 500 inmates in Provincia de Buenos Aires. Funded by UNDP. 2013

Survey of Prison Inmates in Chile (upcoming). Sample of 900 inmates in central regions of Chile. Funded by UNDP. 2013

Survey of Prison Inmates in Brazil (upcoming). Samples of 800 inmates in each of the following states: Sao Paulo, Minas Gerais, and Pernambuco. Funded by UNDP. 2013

Longitudinal Victimization and Institutional Performance Survey in Mexico City and the State of Mexico. (as of December 2010 eleven waves were completed) Funded by The William and Flora Hewlett Foundation, Conacyt, and Macarthur Foundation. (1= July 2005, 2= January 2006, 3= July 2006, 4= January 2007, 5= July 2007, 6= January 2008, 7=July 2008, 8= January 2009, 9= July 2009, 10= January 2010, 11= July 2010)

Encuesta de Victimization, fear of Crime, and Police Trust for the City of Buenos Aires. Enero – Agosto 2007. (sample of 22,000)

Attitudes towards Compliance. Quasi-experiment of Argentine and Chilean Students. Sample of 270 students in Buenos Aires and Santiago. Funded by The Ford Foundation (August 2003).

Tax Compliance in Chile. Sample of 550 taxpayers. Funded by The Ford Foundation

(October 2002).

Enforcement of Local Judgments Survey. Sample of 58 Mexican lawyers, 32 judges and 53 clerks of judges in civil trials (October 2002).

Tax Compliance in Argentina. Sample of 549 taxpayers. Funded by United Nations Program for Development (February 1997).

Alienation and Tax Morale at the Argentine Tax Administration. Sample of 150 regional directors. Funded by United Nations Program for Development (August 1997).

Perceptions of Fiscal Audits in Argentina. Sample of 450 taxpayers. Funded by United Nations Program for Development (September 1997).

Service and Consultant in Public Administration

2011-2013. Research member. UNDP report on crime and Insecurity in Latin America to be Published in 2013.

2009. Chairman. Task Force: Victimization Surveys and measuring of Crime in Mexico. An in-depth study of methods of measuring crime at a sub-national level (sponsored by the governor of the state of Mexico and the head of Government of the Federal District).

2008-2009. The construction of indicators in the field of Public Security. A Proposal for NGOs in Mexico (México Unido contra la delincuencia, Evalúa Mexico, and Observatorios ciudadanos , and many others)

2005. Consultant at Tax Administration, Mexico. Estimation of Tax Evasion for Corporations in Mexico.

2002-to date. Public Security System, Secretariat of Public Security.

2002 Consultant for SII (Chilean Tax Administration) Measures of Tax enforcement programas

1997-1999. Consultant at Tax Administration, Argentina. Research Project Manager of Tax Compliance.

1998-2000. Consultant at Ministry of Justice, Argentina. Chief consultant for Second and Third Victimization Survey.

International Conference Organizing

Taxation and Equality in Latin America Woodrow Wilson International Center for Scholars

/Universidad de San Andrés, Argentina. Washington, D.C. May 24, 2011

Can the Police in Latin America be Reformed? CIDE México. August 2009

The Challenges of Measuring crime and Victimization: International Conference. CIDE April 16-17 (with attendance of specialists and authorities (including Marcelo Ebrard Casaubón and Enrique Peña-Nieto)

The Challenges of Rising Criminality to Democracy and Rule of Law in Latin America. Nuffield College, Oxford, England June 2004.

International Conference on Law and Trust, CIDE, Mexico. October 2003.

Recent Talks

"Considering New Strategies for Confronting Organized Crime in Mexico" Woodrow Wilson Center for Scholars, Washington DC, December 12, 2011.

"Rising Crime in Latin America: Organized Crime, Illegal Markets and Failing States" Columbia University, February 11, 2011.

"Organized Crime and Public Insecurity in Mexico: The threat of Drug Trafficking." Georgetown University March 26, 2010

"Rising Crime, Public Insecurity and Failing States in Latin America." Woodrow Wilson Center. Washington DC February 25, 2010.

"The effect of the "war on drugs" in Latin America". University of Texas at Austin. March 4, 2010

"Victimization and measuring crime in México: Why longitudinal Designs should prevail."

Prepared and deliver at the Conference on Victimization and Measurement of crime in México. CIDE, Mexico City, April 16, 2009.

"The Co-Evolution of Culture and Tax Compliance: A Simulative game on the effects of enforcement" Prepared and delivered at the annual de la American Political Science Association, (APSA) meetings. Chicago August 30th-September 2nd, 2007.

"Rising Crime in Mexico: Social Changes and Institutional Performance" Prepared for delivery at the 2007 Congress of the Latin American Studies Association, (LASA) Montréal, Canada September 5-8, 2007

“Encuesta de Victimización Ciudad de Buenos Aires 2006-2007: Evaluación de la Policía.” Congreso Argentino de Ciencia Política. Universidad del Salvador, 9 de Noviembre de 2007.

“Delito y Violencia en la Ciudad de Buenos Aires: resultados de la encuesta de Victimización” Universidad de san Andrés, Buenos Aires. 13 de Agosto de 2007.

“Violence and Insecurity in contemporary Mexico” Paper presented at the Conference Violence, Insecurity and the State in Mexico. Utrecht, 21-22 June 2007

“Qué medir, Como y Por qué en Seguridad Pública: Algunos Indicadores Preliminares” Conferencia Inaugural de la red de expertos en materia de seguridad pública. Casa de California, México DF. 21 de Mayo de 2007.

“The Mechanisms of Tax Evasion” Oxford University, Center for Latin American Studies, February 2005.

“Prisons in Crisis: Understanding Latin America’s Most Explosive Problem: The case of Mexico” Woodrow Wilson Center, Washington D.C., February 2005.

Segundo Encuentro Anual Programa de Reforma a la Enseñanza del Derecho, Título “¿Cómo construir una comunidad académica autónoma y profesional?. CIDE-Universidad Diego Portales, Cuernavaca, Morelos, enero 2004.

“Criminalidad y Población Carcelaria en México” Conferencia Seguridad Pública en la Nueva Agenda Urbana. UNAM. Junio 2003.

“Criminality and Prison Population in Mexico” Reforming the Administration of Justice in Mexico (with Elena Azaola). University of California at San Diego, May 2003.

Recent Awards and Grants

O’Gorman Fellow. Columbia University January-March 2011

Woodrow Wilson Center for Scholar. Fellow of International Program. December 2009-April 2010

August 2009. Promoted to level III (out of three) National Academy of Research Fellows (Sistema Nacional de Investigadores).

2009-2010- Human Rights and Citizen’s Security: Moving towards a New Agenda (Principal Director) E\$320,000 (two years)

2006-. Research and Expert Network for Police and Human Rights in Mexico. The Mac Arthur foundation. (Principal Director) U\$ 460,000. (Three years)

2006-. Citizen Security in Mexico Principal Director for the Grant by The William and Flora Hewlett Foundation. U\$302,000.00 (two years).

2006. Investigación Básica Conacyt. Funding for the creation of the Centro de Estudios Estadísticos sobre Seguridad Pública (center for the statistics studies on crime in Mexico) (2,962,000 Mx pesos= U\$ 280,000)

August 2005. Promoted to level II (out of three) National Academy of Research Fellows (Sistema Nacional de Investigadores).

April 2004. Assessing Crime in Mexico. Principal Director for the Grant by The William and Flora Hewlett Foundation. U\$250,000.00 (two years).

August 2002 Admitted to the National Academy of Research Fellows (Sistema Nacional de Investigadores) Level I (out of three).

October 2001. Citizen Security in Mexico. Principal Director for the Grant by The William and Flora Hewlett Foundation. U\$200,000.00 (two years).